

## Registration of counterparties for business activities with company POZAGAS a.s.

1. This document describes the procedure for the general registration of applicants for the allocation of storage capacity service, or for entering into another business relationship with the company POZAGAS a.s. (hereinafter referred to as “Registration”).
2. The process of Registration takes place in two steps:
  - A. “Know your customer” (KYC) procedure

The KYC procedure starts with the submission of a completed [Registration Form](#), which is published at [www.pozagas.sk](http://www.pozagas.sk) in the “Sale of capacity/Registration” folder. The company POZAGAS a.s. will conduct a background check on the applicant based on publicly available information and the information provided in the Registration Form. The KYC procedure also includes verification whether the applicant (or a person controlled by him or her, or his or her controlling person, or his or her ultimate beneficial owner, or a person who is his or her statutory body) is not on a sanction list preventing him or her from entering into a business relationship with the company POZAGAS a.s.

In case of unavailability of the necessary information, or at its discretion, the company POZAGAS a.s. may require the applicant to submit information and documents related to KYC by completing the [KYC Questionnaire](#), which is published at [www.pozagas.sk](http://www.pozagas.sk) in the folder “Sale of capacity/Registration”. The related documents include, but are not limited to, extract from the commercial register, annual reports, financial statements, document proving the applicant’s credit rating, ownership structure and others. In such case, the applicant is obliged to provide the company POZAGAS a.s. with all necessary cooperation.

In case that during the duration of the contractual relationship between the customer and the company POZAGAS a.s. there is a significant change in the information provided within the KYC procedure, the customer is obliged to immediately inform the company POZAGAS a.s. about this fact.

The company POZAGAS a.s. is also entitled to request from the customer to submit information and documents related to KYC at any time during the contractual relationship between the customer and the company POZAGAS a.s. The customer is obliged to provide the company POZAGAS a.s. with all necessary cooperation.

### B. Assessment of financial capacity

The evaluation of financial capacity is carried out in accordance with the internal rules established by the company POZAGAS a.s. In case that the evaluation of the applicant’s financial capacity shows that it is necessary for the purpose of concluding the Gas Storage Contract to deposit a financial security, the applicant may do so by depositing

a financial security in accordance with the Article 24 of the Operating Rules and/or the Framework contract on access to the storage facility and gas storage - S.T.A.C. service, or pursuant to any other agreement.

In case of a financial guarantee in the form of a bank guarantee, only a bank rated at least as BBB- as defined by “Standard & Poor’s” or the equivalent minimum rating by “Moody’s” or “Fitch” shall be considered eligible to issue such a bank guarantee.

The recommended sample version of the [Bank Guarantee](#) is published at [www.pozagas.sk](http://www.pozagas.sk) in the “Sale of capacity/Registration” folder.

3. On the result of the Registration of the applicant, including the result of the assessment of its financial capacity in accordance with the rules set internally by the company POZAGAS, the applicant will be informed immediately after receipt of all documents by the company POZAGAS a.s. in writing (by post or e-mail). The result of the Registration shall apply to all processes leading to the entry into a business relationship (in particular, on sale of storage products) between the applicant and the company POZAGAS a.s. until changed on the basis of new facts concerning the applicant or the request from the company POZAGAS a.s. to renew the Registration.